

For Six Month Period Ending JUN 30 2003
(Insert date)

I - REGISTRANT

1. (a) Name of Registrant (b) Registration No.
Dellums, Brauer, Halterman and Associates, LLC 5442
(c) Business Address(es) of Registrant
1736 Franklin Street, Suite 500
Oakland, CA 94612

2. Has there been a change in the information previously furnished in connection with the following:

- (a) If an individual:
- | | | |
|-----------------------|------------------------------|-----------------------------|
| (1) Residence address | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Citizenship | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Occupation | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
- (b) If an organization:
- | | | |
|--------------------------|------------------------------|--|
| (1) Name | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (2) Ownership or control | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (3) Branch offices | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
- (c) Explain fully all changes, if any, indicated in items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).

3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.
Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

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¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date Connection Ended
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- (b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in item 4(b) rendered services directly in furtherance of the interests of any foreign principal? N/A Yes ☐ No ☐

If yes, identify each such person and describe his service.

- (b) Have any employee or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or connection	Date terminated
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- (c) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(c) of the supplemental statement? Yes ☒ No ☐

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes ☐No ☒

If yes, furnish the following information:

Name of foreign principal

Date of termination

8. Have you acquired any new foreign principal² during this 6 month reporting period?

Yes ☐No ☒

If yes, furnish following information:

Name and address of foreign principal

Date acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principals² whom you continued to represent during the 6 month reporting period.

Republic of Haiti

10. **EXHIBITS A AND B**

(a) Have you filed for each of the newly acquired foreign principals in Item 8 the following: **N/A**

Exhibit A³ Yes ☐ No ☐

Exhibit B⁴ Yes ☐ No ☐

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

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11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, and 9 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

Republic of Haiti. See attached for description.

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12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places, of delivery, names of speakers and subject matter.

Republic of Haiti. See attached for description.

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

⁵ The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government, a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies⁶

Date	From Whom	Purpose	Amount
January 14, 2003	Republic of Haiti	Retainer	\$30,000
February 10, 2003	Republic of Haiti	Retainer	\$30,000
March 10, 2003	Republic of Haiti	Retainer	\$30,000
April 7, 2003	Republic of Haiti	Retainer	\$30,000
May 8, 2003	Republic of Haiti	Retainer	\$30,000
June 9, 2003	Republic of Haiti	Retainer	\$30,000

\$180,000

Total

(b) RECEIPTS - FUND RAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fund raising campaign⁷, any money on behalf of any foreign principal named in items 7, 8, and 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose
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^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. (See Rule 201(e).)

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

- (1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, and 9 of this statement? Yes ☒ No ☐

- (2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
January 17, 2003	Ron Dellums	Services rendered	\$12,000
January 17, 2003	Cynthia Dellums	Services rendered	\$ 6,000
January 17, 2003	Charles Stephenson	Services rendered	\$ 7,500
February 18, 2003	Ron Dellums	Services rendered	\$12,000
February 18, 2003	Cynthia Dellums	Services rendered	\$ 6,000
February 18, 2003	Charles Stephenson	Services rendered	\$ 7,500
March 10, 2003	Ron Dellums	Services rendered	\$12,000
March 10, 2003	Cynthia Dellums	Services rendered	\$ 6,000
March 10, 2003	Charles Stephenson	Services rendered	\$ 7,500
April 9, 2003	Ron Dellums	Services rendered	\$12,000
April 9, 2003	Cynthia Dellums	Services rendered	\$ 6,000
April 9, 2003	Charles Stephenson	Services rendered	\$ 7,500
May 9, 2003	Ron Dellums	Services rendered	\$12,000
May 9, 2003	Cynthia Dellums	Services rendered	\$ 6,000
May 9, 2003	Charles Stephenson	Services rendered	\$ 7,500
June 9, 2003	Ron Dellums	Services rendered	\$12,000
June 9, 2003	Cynthia Dellums	Services rendered	\$ 6,000
June 9, 2003	Charles Stephenson	Services rendered	\$ 7,500

\$153,000

Total

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, and 9 of this statement?

Yes ☐No ☒

If yes, furnish the following information:

Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
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(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐No ☒

If yes, furnish the following information:

Date	Amount or thing of value	Name of political organization	Name of candidate
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10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials¹²?
 Yes ☐ No ☒

IF YES, RESPOND TO THE REMAINING ITEMS IN SECTION V.

17. Identify each such foreign principal.

N/A

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☐

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

N/A

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following: N/A

☐ Radio or TV
broadcasts

☐ Magazine or
newspaper
articles

☐ Motion picture films

☐ Letters or telegrams

☐ Advertising
campaigns

☐ Press releases

☐ Pamphlets or other
publications

☐ Lectures or speeches

☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups: N/A

☐ Public Officials

☐ Newspapers

☐ Libraries

☐ Legislators

☐ Editors

☐ Educational institutions

☐ Government agencies

☐ Civic groups or associations

☐ Nationality groups

☐ Other (specify) _____

21. What language was used in the informational materials: N/A
☐ English ☐ Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? N/A Yes ☐ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? N/A
 Yes ☐ No ☐

12 The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

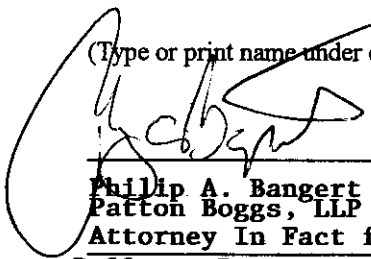
VI--EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

July 30, 2003

(Type or print name under each signature¹³)



Philip A. Bangert
Patton Boggs, LLP
Attorney In Fact for

Dellums, Brauer, Halterman & Associates, LLC

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

**UNITED STATES DEPARTMENT OF JUSTICE
FARA REGISTRATION UNIT
CRIMINAL DIVISION
WASHINGTON, D.C. 20530**

NOTICE

Please answer the following questions and return this sheet in triplicate with your Supplemental Statement:

1. Is your answer to Item 16 of Section V (Informational Materials - page 8 of Form CRM-154, formerly Form OBD-64-Supplemental Statement):

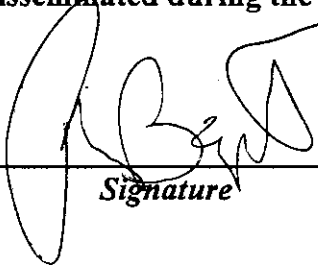
YES _____ or NO X

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

YES _____ or NO X

(If your answer to question 2 is "yes" please forward for our review copies of all material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)



Signature

July 30, 2003

Date

Philip A. Bangert

Please type or print name of
signatory on the line above

Attorney In Fact for
Dellums, Brauer, Halterman & Associates, LLC

Title

2003 JUL 30 PM 3:47
CRM/ISS/REGISTRATION UNIT

THIS FORM IS TO BE AN OFFICIAL ATTACHMENT TO YOUR CURRENT
SUPPLEMENTAL STATEMENT - PLEASE EXECUTE IN TRIPLICATE

SHORT-FORM REGISTRATION INFORMATION SHEET

SECTION A

The Department records list active short-form registration statements for the following persons of your organization filed on the date indicated by each name. If a person is not still functioning in the same capacity directly on behalf of the foreign principal, please show the date of termination.

Short Form List For Registrant: Dellums, Brauer, Halterman & Associates, LLC

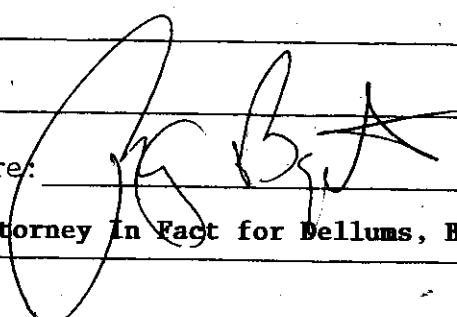
Last Name	First Name and Other Names	Registration Date	Termination Date	Role
Dellums	Ronald V.	7/26/01		
Dellums	Cynthia Lewis	7/26/01		
Halterman	H. Lee	7/26/01		
Stephenson	Charles C.	7/26/01		

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SECTION B

In addition to those persons listed in Section A, list below all current employees rendering services directly on behalf of the foreign principal(s) who have not as yet filed short-form registration statements. (Do not list clerks, secretaries, typists or employees in a similar or related capacity. If there is some question as to whether an employee has an obligation to file a short-form, please address a letter to us describing the activities and connection with the foreign principal.)

Name	Function	Date Hired
NONE		

Signature: 

Date: July 30, 2003

Title: Attorney In Fact for Bellums, Brauer, Halterman & Associates, LLC

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Answers to Question 11

January 13, 2003 Dellums and Stephenson meet with Bert Wise, who formerly Represented Haiti, to discuss the current situation facing the country.

January 8, 2003 Telephone conversation with Melinda Miles of Haiti Reborn, an NGO organization that works on issues affecting the country.

January 9, 2003 Meeting with Aysha House, Senior Legislative Assistant for Congresswoman Barbara Lee, to discuss ongoing efforts of the Congressional Black Caucus to influence Inter-American Development Bank (IDB) to release loans to Haiti.

January 10, 2003 Telephone conversation with Aysha House, Senior Legislative Assistant for Congresswoman Barbara Lee to get details on upcoming Senate trip to Haiti.

January 10, 2003 Telephone conversation with Jennifer Simon, Staff Assistant for the Senate Foreign Relations Committee to get details on purposed Senate trip to Haiti.

January 14, 2003 Dellums meets with Luigi Einaudi, Assistant Secretary General of the Organization of American States (OAS) to discuss Staff Monitoring Program (SMP) for Haiti.

January 30, 2002 Meeting with Michael Riggs, now working with the Robert F. Kennedy Memorial Fund. We discussed his current position and the situation in Haiti.

February 5, 2003 Dellums meets with Dick Arney on possibility of retaining the law firm of Piper Rudnick to represent the Government of Haiti.

February 12, 2003 Dellums meets with Bruce McCollm and Sidney Charles to discuss how the GOH can best position itself for broad support among foreign Haitians.

February 17, 2003 Telephone conversation with Michael Riggs of the Robert F. Kennedy Memorial Foundation, to discuss OAS trip to Haiti.

February 12, 2003 Meeting with Aysha House, Senior Legislative Assistant for Congresswoman Barbara Lee regarding OAS trip to Haiti.

February 12, 2003 Conversation with Aysha House, Senior Legislative Assistant for Congresswoman Barbara Lee to get a briefing on meeting with Assistant Secretary Taylor and member of the Congressional Black Caucus (CBC).

February 16-18, 2003 Dellums and Stephenson travel to Haiti to meet with President Aristide regarding the negotiation process and to assist with discussions with OAS. Focus of trip was to receive from President Aristide his prospective on the current political situation and his willingness to resolve current crisis.

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Answers to Question 11 continued:

February 27, 2003 Telephone conversation with Eugenia Charles who works with Haiti Reborn, to get an update on developments regarding the country.

February 27, 2003 Telephone conversation with Aysha House, Senior Legislative Assistant to Congresswoman Barbara Lee, to get status of congressional legislation intended to assist GOH.

March 11, 2003 Dellums meets with Emil Gonzales of the National Security Council (NSC) regarding current U.S. policy towards Haiti.

March 14, 2003 Dellums meets with Luigi Einaudi, Assistant Secretary General of the OAS, to discuss ongoing efforts to reach agreement on elections in Haiti.

March 13, 2003 Dellums and Stephenson meet with Congressman Elliott Engel to let him know that we represent the GOH.

March 20, 2003 Meeting with Aysha House, Senior Legislative Assistant for Congresswoman Barbara Lee, to discuss CBC efforts regarding Haiti.

March 13, 2003 Telephone conversation with Jennifer Simon, Staff Assistant for the Senate Foreign Relations Committee, regarding upcoming committee hearing on Haiti.

March 14, 2003 Dellums meets with Congressman Kendrick Meeks and discusses current political situation facing the GOH.

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March 18, 2003 Stephenson meets with Paul Braithwaite, Staff Assistant for the CBC to discuss upcoming meeting with Congressman Elijah Cummings and Dellums. Also discuss scheduled trip of CBC members to Haiti.

March 24, 2003 Dellums and Stephenson meet with Congressman Elijah Cummings, Chair of the CBC, discussed current political situation in Haiti.

March 28, 2003 Dellums has telephone conversation with Congresswoman Maxine Waters concerning current political situation in Haiti.

April 8, 2003 Dellums speaks with Congresswoman Maxine Waters regarding efforts with International Monetary Fund (IMF) and the SMP for Haiti.

April 9, 2003 Conversation with Jennifer Simon, Staff Assistant for the Senate Foreign Relations Committee regarding process between IMF and SMP for Haiti.

Answer to Question 11 continued:

April 15, 2003 Conversation with Aysha House, Senior Legislative Assistant for Congresswoman Barbara Lee regarding Representatives Lee and Franks letter to IMF regarding Haiti and the SMP.

April 30, 2003 Dellums meets with CBC Haiti Task Force Members: Representatives John Conyers, Barbara Lee, Sheila Jackson-Lee and staff from other congressional offices. Meeting discussed current situation in Haiti and steps to be taken to assist getting the loans released to Haiti.

May 1, 2003 Dellums and Stephenson meet with Secretary Tommy Thompson, and among other matters discuss the HIV/AIDS situation in Haiti and the need for a focused U.S. policy towards the GOH.

May 5, 2003 Dellums meets with Congressman John Conyers regarding ongoing efforts of the CBC with regard to GOH.

May 8, 2003 Dellums speaks with Congresswoman Maxine Waters concerning IDB loan to Haiti.

May 22, 2003 Dellums and Stephenson meets with CBC Members: Representatives Elijah Cummings, Barbara Lee, Sheila Jackson-Lee, Carolyn Kilpatrick, Major Owens, Mel Watt and Kendrick Meeks regarding GOH.

June 3, 2003 Dellums meets with Vice President Dick Cheney regarding current U. S. policy towards Haiti. Dellums stated the need for the U.S. to re-examine policy and offer assistance to the GOH.

June 12, 2003 Conversation with Jennifer Simon, Staff Assistant for the Senate Foreign Relations Committee regarding upcoming committee hearing on Haiti.

June 13, 2003 Follow up conversation with Jennifer Simon, Staff Assistant for the Senate Foreign Relations Committee regarding committee hearing on Haiti.

June 18, 2003 Conversation with Aysha House, Senior Legislative Assistant for Congresswoman Barbara Lee regarding CODELL to Haiti with CBC members.

June 19, 2003 Dellums speaks with Cynthia Martin, Legislative Director and Counsel for Congressman John Conyers regarding CODELL to Haiti.

June 19, 2003 Dellums speaks with Aysha House, Senior Legislative Assistant for Congresswoman Barbara Lee regarding CODELL to Haiti.

June 26, 2003 Dellums meets with CBC Task Force on Haiti in preparation for upcoming CODELL to Haiti, members: Barbara Lee, John Conyers, Elijah Cummings and Sheila Jackson-Lee.

Answers to Question 12

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